INVESTORS COMPLAINTS DATA IN COMPLIANCE WITH SEBI CIRCULARSEBI/ HO/ CFD/ DCR2/ P/ CIR/ 2021/ 0661 DATED NOVEMBER 23, 2021

## Category Wise Investor Complaint Data

I. IPO/ SME IPO/ FPO/ Rights Issue/ QIP / IPP/ Preferential Issue/ Debt Issue/ Buy Back/Delisting/ Takeover : Main Board/ SME Data for month ending August, 2023 is as follows:

| S.N. | Received From | Pending <br> as at the <br> end of <br> last <br> month | Received <br> During <br> the <br> particular <br> month | Resolved <br> During <br> the <br> particular <br> month* | Total <br> pending <br> During the <br> particular <br> month \# | Pending <br> complaints <br> $>\mathbf{1}$ month | Average <br> Resolution <br> time^ $($ (in days) |
| :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| 1. | Directly from <br> Investors | Nil | Nil | Nil | Nil | Nil | Not Applicable |
| 2. | SEBI (SCORES) | Nil | Nil | Nil | Nil | Nil | Not Applicable |
| 3. | Stock Exchanges (if <br> relevant) | Nil | Nil | Nil | Nil | Nil | Not Applicable |
| 4. | Other Sources <br> (if any) | Nil | Nil | Nil | Nil | Nil | Not Applicable |
|  | Grand Total | Nil | Nil | Nil | Nil | Nil | Not Applicable |

Trend of monthly disposal of complaints (For 5 months on rolling basis):

| S.N. | Month | Carried forward <br> from previous month | Received during <br> the particular <br> month | Resolved during <br> the particular <br> month * | Pending at the end <br> of the particular <br> month \# |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1. | April, 2023 | Nil | Nil | Nil | Nil |
| 2. | May, 2023 | Nil | Nil | Nil | Nil |
| 3. | June, 2023 | Nil | Nil | Nil | Nil |
| 4. | July, 2023 | Nil | Nil | Nil | Nil |
| 5. | August, 2023 | Nil | Nil | Nil | Nil |
|  | Grand Total | - | - | - | - |

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis):

| S.N. | Year | Carried <br> forward from <br> previous year | Received <br> during the <br> particular year | Resolved during <br> the particular <br> year | Pending at the end <br> of the particular <br> year |
| :---: | :--- | :---: | :---: | :---: | :---: |
| 1. | 2022 | Nil | Nil | Nil | Nil |
| 2. | 2023 | + | + | + | + |
| 3. | 2024 | + | + | + | + |
| 4. | 2025 | + | + | + | + |
| 5. | 2026 | + | + | + | + |
|  | Grand Total | - | - | - | - |

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[^0]:    $\wedge \quad$ Average Resolution time is the sum total of time taken to resolve each complaint in days, in the current month divided by total number of complaints resolved in the current month.

    * Inclusive of complaints of previous months resolved in the current month.
    \# Inclusive of complaints pending as on the last day of the month.
    $+\quad$ The relevant period has not been completed

